1	Potential Vorticity Generation by West African Squall Lines
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#### ABSTRACT

The West African summer monsoon features multiple, complex interactions 7 between African Easterly Waves (AEWs), moist convection, variable land sur-8 face properties, dust aerosols, and the diurnal cycle. One aspect of these inter-9 actions, the coupling between convection and AEWs, is explored using obser-10 vations obtained during the 2006 African Monsoon Multidisciplinary Analy-11 ses (AMMA) field campaign. During AMMA, research weather radar oper-12 ated at Niamey, Niger, where it intercepted 28 squall line systems character-13 ized by leading convective lines and trailing stratiform regions. Nieto Ferreira 14 et al. found that the squall lines were linked with the passage of AEWs and 15 classified them into two tracks, northerly and southerly, based on the position 16 of the African Easterly Jet (AEJ). Using AMMA sounding data, a composite 17 of northerly squall lines that tracked on the cyclonic shear side of the African 18 Easterly Jet (AEJ) is created. Latent heating within the trailing stratiform re-19 gions produced a mid-tropospheric positive potential vorticity (PV) anomaly 20 centered at the melting level, as commonly observed in such systems. How-2 ever, a unique aspect of these PV anomalies is that they combined with a 22 400-500 hPa positive PV anomaly extending southward from the Sahara. The 23 latter feature is a consequence of the deep convective boundary layer over the 24 hot Saharan desert. Results provide evidence of a coupling and merging of 25 two PV sources - one associated with the Saharan heat low and another with 26 latent heating – that ends up creating a prominent mid-tropospheric positive 27 PV maximum to the rear of West African squall lines. 28

### 29 1. Introduction

The dominant rain producers over West Africa during the summer monsoon are westward-30 moving squall lines consisting of leading convective lines and trailing stratiform precipitation re-31 gions (Hamilton and Archbold 1945; Aspliden et al. 1976; Houze 1977; Roux et al. 1984; Chong 32 et al. 1987; Chalon et al. 1988; Roux 1988). The squall lines are commonly associated with 33 African Easterly Waves (AEWs; e.g., Carlson 1969; Burpee 1974; Reed et al. 1977; Kiladis et al. 34 2006), which propagate along the African Easterly Jet (AEJ). They typically possess two circula-35 tion centers or vorticity maxima: one at the level of the AEJ (600-700 hPa) just south of the jet 36 axis and the other at lower levels to the north of the axis along the baroclinic zone at the southern 37 edge of the Saharan heat low (e.g., Reed et al. 1977; Thorncroft and Hodges 2001). 38

The relationship of convection to AEWs is complex and varies from land to ocean. South of 39 the AEJ axis over land, convection typically leads the AEW trough, while to the north of the AEJ 40 convection is positioned in the southerly flow to the rear of the trough axis (e.g., Duvel 1990; Fink 41 and Reiner 2003; Kiladis et al. 2006). While these phase relationships between convection and 42 AEWs have been widely documented, mechanisms involving the coupling between the diabatic 43 processes in the convective systems and AEW dynamics are not yet fully understood. For con-44 vection south of the AEJ, studies have shown that low-level convergence ahead of the trough axis 45 contributes to convection developing there (e.g., Carlson 1969; Payne and McGarry 1977; Reed 46 et al. 1977; Fink and Reiner 2003; Tomassini 2018). The resultant latent heating in the convective 47 systems has been implicated in the intensification of the AEWs (Norquist et al. 1977; Berry and 48 Thorncroft 2005, 2012; Hsieh and Cook 2007, 2008; Tomassini et al. 2017). However, given that 49 the response of the large-scale environment to latent heating depends critically on its vertical pro-50 file (e.g., Hartmann et al. 1984; Schumacher et al. 2004) and that the precipitation characteristics 51

(e.g., convective/stratiform ratios) of convective systems vary greatly over West Africa (Schumacher and Houze 2006; Guy et al. 2011), significant regional differences in convection-AEW
 interactions likely exist.

The vertical profile of latent heating in convective systems has a significant impact on the poten-55 tial vorticity (PV) field (Schubert et al. 1989; Raymond and Jiang 1990). As shown by Hertenstein 56 and Schubert (1991), there are differing responses to latent heating in the convective line and strat-57 iform region of squall lines. The convective line latent heating that peaks in the mid-troposphere 58 produces a positive PV anomaly at low levels and negative anomaly in the upper troposphere. 59 On the other hand, the stratiform region with heating aloft and cooling at low levels generates a 60 positive PV anomaly in the mid-troposphere. While the importance of the background PV field 61 in AEW development has long been recognized (Burpee 1972), the specific impact of the vertical 62 distribution of latent heating on the dynamics of AEWs has been more recently explored using 63 reanalyses and numerical simulations (Hsieh and Cook 2008; Berry and Thorncroft 2012; Janiga 64 and Thorncroft 2013; Tomassini et al. 2017; Tomassini 2018). This study is intended to add to 65 those previous works with a more observationally based approach. 66

Observations taken during the summer 2006 African Monsoon Multidisciplinary Analyses 67 (AMMA; Redelsperger et al. 2006) field campaign are used, with particular attention to the la-68 tent heating and circulation characteristics of squall line systems. Over two dozen West African 69 squall lines were sampled during AMMA by the MIT C-band weather radar located near Niamey, 70 Niger (13.5°N, 2.2°E). Using the MIT radar observations, the structural characteristics and the di-71 urnal behavior of the squall lines, as well as their relationship to African Easterly Waves (AEWs) 72 were analyzed by Rickenbach et al. (2009); Nieto Ferreira et al. (2009); Guy et al. (2011). The 73 results of these studies are incorporated into our investigation. 74

In addition to the radar observations at Niamey, a special sounding network was established 75 over West Africa to document the the environmental conditions associated with the AEWs and 76 convective systems that move through the region (Parker et al. 2008). Both the radar observations 77 and analyses from the sounding network are combined to determine the structure and latent heating 78 characteristics of the squall lines and how they might impact AEWs over west central Africa. The 79 advantage of using analyses from the core sounding network is that unlike in the case of reanalysis 80 datasets and numerical modeling, diagnosed fields such as the divergence profiles are independent 81 of model parameterizations. 82

#### **2.** Data and Methods

#### <sup>84</sup> a. Sounding observations

The analysis here is based on the dataset collected during the AMMA Special Observing Period 85 (SOP; defined here as June to September 2006) over the area shown in Fig. 1. During this period 86 some 7,000 upper-air soundings were taken, representing the greatest density of radiosondes ever 87 launched over this region (Parker et al. 2008). The observations centered around six core sounding 88 sites which formed the adjacent quadrilateral and triangular networks seen in Fig. 1 and allow for 89 north-south analysis transects over this region. Hereafter, these two networks will be referred to 90 as the Northern and Southern Enhanced Budget Arrays (EBAN and EBAS, respectively). EBAN 91 extends into a portion of the Sahel. Sounding observations were conducted at these core sites 92 with 2-4 day<sup>-1</sup> frequency during much the SOP and 8 day<sup>-1</sup> during two intensive observing 93 periods (IOPs; 20-29 June 2006 and 1-15 August 2006). These IOPs were intended to capture 94 pre-monsoon and monsoon conditions, respectively, within this region. A visual inventory of the 95

sounding data at the core sites and a discussion of the humidity corrections made to the data can
 be found in the Appendix.

#### <sup>98</sup> b. Gridded analysis procedure

<sup>99</sup> Data used in the production of the gridded analyses included 6,600 upsondes (the vast majority <sup>100</sup> of which were at high vertical resolution) from 27 land sites and 12 research vessels, 558 drop-<sup>101</sup> sondes from two aircraft, 109 driftsondes (Cohn et al. 2013), 733 pibal soundings from 14 sites <sup>102</sup> (Parker et al. 2008), and 1,270 3-h averaged wind profiles from three wind profilers located in the <sup>103</sup> vicinity of the core sites (Fig. 1). While pibal winds were confined to the lowest few kilometers, <sup>104</sup> profiler winds were generally present up to 6 km. Additional quality controls were applied to all <sup>105</sup> soundings as described in Ciesielski et al. (2012).

To supplement these data sources, 6-h analysis fields from a special AMMA ECMWF reanalysis 106 (Agustí-Panareda et al. 2010) were used at 5° grid intersections if no observations were present 107 within a 3° radius. Key features of this reanalysis include use of a new model version with im-108 proved physics, inclusion of all high vertical resolution AMMA radiosonde data, and implemen-109 tation of a rawinsonde humidity-bias correction method as described in Agustí-Panareda et al. 110 (2009). The main impact of using this reanalysis is over the data-void regions outside the core ar-111 rays (e.g., over the oceans), except for periods when radiosonde data at a core site did not exist for 112 whatever reason (data gaps depicted Fig. A1). When soundings from all core sites were present, 113 fields within the enhanced networks are largely independent of the model analyses and hence their 114 physical parameterizations, which makes this study distinct from those relying on reanalysis fields. 115 The quality-controlled sounding data, along with the other data sources and reanalysis described 116 above, were objectively analyzed onto a  $1^{\circ} \times 1^{\circ}$  grid at 6-h intervals for the SOP and at 3-h in-117 tervals for the IOPs. Using multiquadric interpolation (Nuss and Titley 1994), gridded analyses 118

of the basic fields were produced at the surface and at 25-hPa intervals from 1000 to 50 hPa over 119 the large-scale sounding array (LSA) from 20°W to 20°E and the equator to 20°N. The divergence 120 field was mass balanced in the vertical by assuming adiabatic flow at the tropopause level (typ-121 ically around 100 hPa), which was determined at each grid point and each time interval. In an 122 effort to assess the reliability of the divergence estimates from the sounding network, precipita-123 tion estimates based on the moisture budget are compared to Tropical Rainfall Measuring Mission 124 (TRMM) 3B42 estimates. Despite complications in budget estimates due to the coarseness of the 125 sounding network, topographic effects, uncertainties in estimates of surface evaporation over land, 126 and uncertainties in TRMM 3B42 precipitation over land, there is reasonable agreement between 127 the independent estimates (Appendix). 128

#### 129 c. MIT radar observations

The MIT C-band radar operated at Niamey (13.5°N, 2.2°E) during the period 5 July to 27 130 September (Nieto Ferreira et al. 2009; Rickenbach et al. 2009; Guy et al. 2011) taking 3-D re-131 flectivity volumes to a range of 150 km every 10 min. The principal focus of this study is the 132 placement of the sounding data in the context of the observations from the MIT radar site, which 133 is situated at the corner of the two sounding array polygons EBAN and EBAS (Fig. 1). The radar 134 sampling area (Fig. 1) is considerably smaller than the sounding array areas so the sounding net-135 work is unable to resolve convective-scale features of the storms passing through the radar domain. 136 Several aspects of the radar analyses will be utilized. First, convective/stratiform partitioning data 137 based on the MIT radar (Nieto Ferreira et al. 2009; Guy et al. 2011) will be incorporated into our 138 study. Second, information about individual squall lines that passed the radar site (Nieto Ferreira 139 et al. 2009; Rickenbach et al. 2009) will be used to investigate mesoscale aspects of the squall 140 systems through a compositing analysis. 141

During the MIT radar deployment at Niamey, 28 squall lines were observed to pass the radar 142 site (Nieto Ferreira et al. 2009). Rickenbach et al. (2009) found that 20 of the 28 squall lines ar-143 rived at the Niamey radar site during the early morning, having originated the previous afternoon 144 over elevated terrain, principally the Jos Plateau,  $\sim 800$  km to the southeast. They found the diur-145 nal cycle of rainfall to be bimodal, with a primary maximum related to the nocturnal squall line 146 passage and a secondary peak associated with afternoon isolated convection. Squall lines were 147 the most important rain producers during the period of radar operation, contributing 82% of the 148 total rainfall despite being present only 17% of the time (Nieto Ferreira et al. 2009). Given this, 149 sounding-based diagnosed properties of convection in the region can be expected to primarily re-150 flect those associated with squall line systems, which are characterized over West Africa by leading 151 convective lines and trailing stratiform regions (e.g., Hamilton and Archbold 1945; Aspliden et al. 152 1976; Houze 1977; Chong et al. 1987; Roux 1988). Cetrone and Houze (2011) investigated the 153 non-precipitating anvil characteristics of 15 squall lines that passed the radar site during AMMA, 154 all of which had leading-line/trailing-stratiform precipitation structures. 155

Out of the 28 cases examined in Nieto Ferreira et al. (2009), a selection of 12 (shown in Table 1) 156 has been made that had the largest dimension and were best sampled by the MIT radar based on a 157 perusal of sequences of PPI images. Radar depictions of two of the squall lines selected are shown 158 in Fig. 2. Each of the two moved from east to west and had a leading convective line oriented 159 in an approximately north-south direction with a trailing stratiform precipitation system separated 160 from the convective line by a weak-reflectivity transition zone. To a greater or lesser degree, all 161 cases had a leading-line/trailing-stratiform (LL/TS) structure and the majority of the 12 cases had 162 an approximately north-south orientation (Table 1). Also identified in Table 1 is a classification 163 of the squall lines as to whether they were associated with the passage of northerly or southerly 164 African easterly wave (AEW) tracks according to Nieto Ferreira et al. (2009). Results pertaining 165

to this classification will be presented later. Ten of the 12 squall line passages occurred during the morning hours, while two were in the mid-afternoon. The squall line speeds ranged from 10 to 25 m s<sup>-1</sup>, with an average speed of 17.2 m s<sup>-1</sup>.

Composite analyses of the 12 cases have been created by assigning a time scale to the squall line 169 passage determined by the time at which the center of the convective lines for each case passed 170 the MIT radar site. Those times are indicated in Table 1. To illustrate the LL/TS characteristics of 171 the composited cases, time series of rainfall rate, stratiform rain fraction, and stratiform rain area 172 relative to squall line passage for these cases have been determined (Fig. 3). Values shown are 173 averages over a 20 km  $\times$  20 km area centered at the radar site. Both conditional rain rate (average 174 rain rate over areas where it is raining) and unconditional rain rate (average rain rate over entire 20 175  $km \times 20$  km area) peak near t=0, corresponding to minima in stratiform fraction and stratiform 176 area. Over a period of 3-4 h following passage, the stratiform fraction and area increased, reaching 177 nearly 100% at t = +4 h. The overall evolution of the precipitation fields in Fig. 3 is consistent 178 with the passage of an LL/TS squall system (Houze 1977; Zipser 1977; Chong et al. 1987; Roux 179 1988). 180

#### <sup>181</sup> *d. Other data sources*

<sup>182</sup> Surface meteorological observations at 1-min intervals from the Atmospheric Radiation Mea-<sup>183</sup> surement (ARM) mobile facility located at the Niamey airport (Miller and Slingo 2007) are used <sup>184</sup> to document the passage of squall lines. Surface fluxes were also available at this site; however, <sup>185</sup> they are not necessarily representative of the larger domain, so ECMWF reanalysis fluxes are used <sup>186</sup> in the study. Reanalysis flux biases are discussed in detail in Agustí-Panareda et al. (2010).

<sup>187</sup> Cloud parameters and column-net radiative heating rates ( $Q_R$ ) are obtained from the Clouds <sup>188</sup> and the Earth's Radiant Energy System (CERES) product at 3-h intervals on a 1° grid (Wielicki

et al. 1996). High vertical resolution (681 levels) profiles of  $Q_R$  at 2-min resolution were available 189 for the period from 1 July to 30 September 2006 based on radiative transfer calculations and 190 observations from the ARM mobile facility at Niamey (Powell et al. 2012). The mean diurnal 191 cycle of radiative heating for this 3-month period was used to fill in missing times (June 1-30, and 192 July 21-22) and bad data (heating rates with a  $3\sigma$  departure from the diurnal mean). To obtain 193 radiative heating profiles over the budget arrays, the vertical profiles of  $Q_R$  were averaged in 3-h 194 bins then adjusted by a constant fraction at each level so that its vertical integral matched that of 195 the CERES product averaged over the arrays. 196

<sup>197</sup> Rainfall rates derived from the sounding budget are compared to estimates from the TRMM <sup>198</sup> 3B42v7 product. This TRMM product is available at 3-h,  $0.25^{\circ} \times 0.25^{\circ}$  resolution by combining <sup>199</sup> microwave rainfall estimates from TRMM and other satellites with high temporal infrared rain <sup>200</sup> estimates and with surface gauge data where available (Huffman et al. 2007).

#### 201 3. Results

#### <sup>202</sup> a. Conditions over sounding arrays prior to and following monsoon onset

The main focus of this study is on the properties of convection within the region of the sounding 203 arrays EBAN and EBAS. A map of June through September mean rainfall over the LSA is shown 204 in Fig. 4. Rainfall maxima were observed to the west or windward side (with respect to the 205 southwesterly low-level monsoon flow) of several prominent terrain features over West Africa 206 - the Guinea Highlands, the Jos Plateau, and the Cameroon Highlands. Minor rainfall maxima 207 also occurred over higher terrain within the EBAS and EBAN. Past studies have demonstrated the 208 importance of terrain features in generating localized convective systems and squall lines in their 209 proximity in the afternoon, followed by subsequent westward propagation in the easterly flow aloft 210

(Rowell and Milford 1993; Hodges and Thorncroft 1997; Fink and Reiner 2003; Mohr 2004; Fink
et al. 2006; Laing et al. 2008; Rickenbach et al. 2009; Janiga and Thorncroft 2014). Because of
its location just east of the EBAS and EBAN, one of these features, the Jos Plateau, influences
the timing of convection passing over the arrays (Fink et al. 2006), which explains the frequently
observed nighttime/early-morning arrival of squall lines at the MIT radar site (Nieto Ferreira et al.
2009; Rickenbach et al. 2009; Guy et al. 2011).

While Fig. 4 depicts the rainfall distribution over the entire LSA, the north-south variation of 217 rainfall from the equator to  $20^{\circ}$ N over a smaller region that includes the longitudes of the sounding 218 arrays (0-7 $^{\circ}$ E) is shown in Fig. 5. The range of longitudes for Fig. 5 and subsequent analyses of 219 meridional variations was selected because it contains the highest density of sounding observa-220 tions, namely, those comprising EBAS and EBAN (Fig. 1). Included in this figure is the 500-hPa 221 vertical p-velocity  $\omega$  based on the gridded analyses. Overall, there is good agreement between 222 the peaks in rainfall and mid-tropospheric upward motion maxima, lending credence to the ability 223 of the sounding network to capture realistic estimates of vertical profiles of divergence (see Ap-224 pendix for more detailed analysis). In a review of the AMMA field campaign, Janicot et al. (2008) 225 reported that convective activity over the Sahel began around 10 July, delayed from its normal 226 onset date by 10 days possibly due to the passage of an MJO around that time. Using 10 July as 227 monsoon onset date for the EBAN, which extends into the Sahel, pre-monsoon and monsoon pe-228 riods defined in subsequent analyses are denoted in Fig. 5. This definition of pre-monsoon period, 229 whose beginning on 1 June is based on the initial operation of the sounding network, differs from 230 the preonset period of the West African monsoon defined by Sultan and Janicot (2003), which 231 climatologically begins in mid-May and ends in late June. Prior to monsoon onset, maxima in 232 rainfall and upward motion were generally confined to 2-6°N, while following onset, rainfall and 233

vertical motion peaks shifted northward and extended over a much broader latitude range, from  $\sim 5^{\circ}$  to  $15^{\circ}$ N.

The northward advance of the summer monsoon is illustrated in vertical cross sections of the 236 meridional wind v and specific humidity q (Fig. 6). Following onset, moisture and rainfall shift 237 northward while drying and reduced rainfall are observed at the equator. The low-level southerly 238 flow intensified somewhat near 10°N after onset but not by a significant amount. Lothon et al. 239 (2008) showed that throughout the AMMA SOP low-level southerly flow (a nocturnal low-level 240 jet) peaked at 400 m above ground level in the early morning hours with an accompanying max-241 imum northward moisture transport at that time. Associated with the poleward advance of mois-242 ture after onset is a significant reduction in cloud-base height poleward of 10°N (e.g., Lafore et al. 243 2011). 244

Vertical cross sections of the zonal wind and absolute vorticity before and after monsoon onset 245 are shown in Figs. 7a,b. From pre-monsoon to monsoon the average AEJ at 600 hPa shifted from 246 10°N to 15°N, as reported in Janicot et al. (2008). Accompanying this transition was a reversal 247 in the north-south gradient of absolute vorticity (color), indicating the necessary condition for 248 barotropic instability of the mean zonal flow existed between 700 and 400 hPa (Burpee 1972; 249 Reed et al. 1977). A tongue of high potential vorticity (PV =  $\frac{1}{\rho}\zeta_a \cdot \nabla \theta$ ; where  $\rho$  is density;  $\zeta_a$  the 250 absolute vorticity vector, and  $\theta$  potential temperature), is seen in the 400-500 hPa layer extending 251 equatorward from 20°N and broadening after monsoon onset (Figs. 7c,d).<sup>1</sup> This high-PV feature, 252 originally reported by Burpee (1972), is associated with a layer of high static stability atop a near-253 neutral layer over the Sahara that is a result of exceptionally deep convective boundary layers over 254 the intensely heated desert surface (Karyampudi and Carlson 1988; Cuesta et al. 2009; Messager 255

<sup>&</sup>lt;sup>1</sup>Viewed at a lower level (700 hPa; Fig. 7d), the PV maximum near 10°N is part of a long strip of high PV extending across West Africa on the cyclonic shear side of the AEJ (Berry and Thorncroft 2005; Janiga and Thorncroft 2013).

et al. 2010; Garcia-Carreras et al. 2015; Tomassini 2018). This stable layer is strengthened by 256 shortwave radiative heating of the dust that is lofted from the surface to the top of the mixed layer 257 or Saharan Air Layer (SAL: Carlson and Prospero 1972; Karyampudi and Carlson 1988). In the 258 same way that the trade inversion in the subtropics has been shown to be dynamically extended 259 along isentropes into the deep tropics (Schubert et al. 1995), the layer of high stability atop the 260 heated Saharan boundary layer extends equatorward along isentropes (Figs. 7c,d) and then links 261 up with the PV maximum on the equatorward side of the AEJ. This mean PV distribution closely 262 resembles that reported by Janiga and Thorncroft (2013) within the longitude range  $0-10^{\circ}$ W for 263 July-September 1998-2009 based on ERA-Interim data. 264

Also depicted in Figs. 7c,d are regions of reversed north-south gradient of PV along isentropic 265 surfaces (stippling), an indication of the possibility of barotropic-baroclinic instability of the zonal 266 flow (Charney and Stern 1962; Eliassen 1983). Prior to monsoon onset, there is a reversal of the 267 PV gradient poleward of  $7^{\circ}$ N and below 600 hPa (Fig. 8c); however, this layer deepened after 268 onset (Fig. 7d). In addition, there is region of reversed PV gradient in the upper troposphere, 269 particularly prominent after monsoon onset. Diedhiou et al. (2002) associate this feature with 270 the upper-tropospheric Tropical Easterly Jet (TEJ), which extends from the Asia Subcontinent 271 westward across Africa. Schubert et al. (1991) argue that convection associated with the ITCZ 272 alone is sufficient to generate these two PV gradient reversals, the lower one poleward and the 273 upper one equatorward of the rainfall maximum. The rainfall distributions during AMMA (lower 274 panels in Fig. 7) are generally consistent with this concept. Schubert et al. (1991) also propose 275 that ITCZ convection by itself can account for instability of the lower-tropospheric easterly jet, 276 not requiring strong heating over the Sahara. The low values of PV near the Sahara (Figs. 7c,d), 277 however, are clearly associated with the deep convective boundary layers there reflected in the 278 nearly constant  $\theta$  below 600 hPa (Thorncroft and Blackburn 1999; Dickinson and Molinari 2000), 279

which serve to amplify the PV gradient reversal (Karyampudi and Pierce 2002; Hsieh and Cook 2008). The high values of PV related to the SAL are argued to be important in the amplification of AEWs as well as subsequent tropical cyclogenesis (Jones et al. 2004; Tomassini 2018).

Next shown are vertical cross sections of divergence and relative humidity before and after mon-283 soon onset (Figs. 8a,b). Accompanying the northward advance and overall increase in rainfall over 284 the region (lower panels, Fig. 8) is a northward push, broadening, and increase in both moisture 285 throughout the column and upper-level divergence. In addition to the moistening at low and up-286 per levels, a broad area of moistening is observed near the 0°C level ( $\sim$ 580 hPa), reflecting the 287 prevalence of stratiform precipitation associated with the common squall line passages in the re-288 gion (Chong et al. 1987; Roux 1988; Nieto Ferreira et al. 2009; Rickenbach et al. 2009; Guy et al. 289 2011) and the saturated conditions at the bases of the stratiform anvil clouds (Leary and Houze 290 1979). Convergence near the melting layer (Mapes and Houze 1995; Johnson et al. 1996) expands 291 and extends northward following onset. Prior to onset, a shallow, dry circulation characterized by 292 low-level convergence and divergence near 600 hPa is observed to the north in proximity to the 293 Saharan heat low. 294

Using the gridded sounding dataset, the apparent heat source  $Q_1$  (Yanai et al. 1973) over the 295 longitudes of the sounding array areas has been computed. North-south cross sections of  $Q_1$ , 296 vertical p-velocity ( $\omega$ ) before and after onset are shown in Figs. 8c,d. Prior to onset, there was 297 a peak in  $Q_1$  near 500 hPa (Fig. 8c) centered near the rainfall maximum around 5°N. The peak 298 intensified and shifted upward after onset, as well as moving northward, corresponding to an 299 overall increase and northward shift of rainfall (Fig. 8, lower panels). Strong upward motion at 300 low levels capped by subsidence aloft existed over the Sahel prior to onset (Fig. 8c), but the upward 301 motion weakened after onset as precipitation encroached into the region. Large positive values of 302  $Q_1$  near the surface at northerly latitudes before and after onset are associated with boundary layer 303

turbulent fluxes over the strongly heated terrain. The north-south distributions of  $Q_1$  are broadly similar to those determined from ERA-Interim data for June-September 1998-2007 in the AEW composite study of Poan et al. (2014).

#### <sup>307</sup> b. Diagnosed properties of convection based on radar convective-stratiform partitioning

Using MIT radar convective/stratiform precipitation data provided by Nick Guy (2010, personal 308 communication) an average 56% stratiform rainfall fraction (SF) in the radar domain has been 309 determined for the entire period of AMMA operation. Dividing sounding-network-based heat and 310 moisture budgets computed at 6-h intervals into two groups, one for SF greater than 56% and one 311 for SF less than 56% (with average values of SF of 82% and 30%, and comprising 98 and 102 312 cases, respectively), and averaging over a region downscaled to the 150-km radius domain of the 313 radar yields the results shown in Fig. 9. Profiles of the radiative heating rate  $Q_R$  are slightly differ-314 ent for high and low SF. The profile for high SF is consistent with the idea that stratiform clouds 315 trailing squall lines have their bases near the  $0^{\circ}$ C level (Leary and Houze 1979) and in such sys-316 tems longwave heating occurs near their bases and cooling near their tops (Webster and Stephens 317 1980). Such a configuration has a destabilizing influence on the trailing stratiform systems. Re-318 ferring next to the profiles of convective heating  $Q_1 - Q_R$ , it can be seen that the profiles for both 319 high and low SF are nearly identical (Fig. 9a), seemingly an unexpected result. From previous 320 studies, one would expect high-SF  $Q_1 - Q_R$  profiles to be positive aloft and negative at low levels 321 while low SF profiles would be expected to be bottom-heavy (e.g., Houze 1982; Johnson 1984; 322 Schumacher et al. 2004). However, both categories exhibit similarly shaped, top-heavy profiles, 323 indicative of the combined effects of convective and stratiform precipitation in squall-type systems 324 ((e.g., Houze 1982; Johnson 1984; Mapes and Houze 1995). The explanation for this apparent dis-325 crepancy is that the MIT radar domain area is so much smaller than the sounding array areas (Fig. 326

1) that it is not possible to resolve convective/stratiform variability over the radar domain, hence
 only the aggregate properties of the entire convective systems are diagnosed when scaled down to
 the radar area.

While the  $Q_1 - Q_R$  profiles are nearly identical, the  $Q_2$  profiles for high and low SF are distinctly 330 different (Fig. 9b). Although the same line of reasoning above for the similarly shaped  $Q_1$  – 331  $Q_R$  profiles would seem to apply to the  $Q_2$  profiles, that does not appear to be the case. The 332 explanation may lie in the fact that the mid-to-upper troposphere for low SF is considerably drier 333 than for high SF (Fig. 9c), which argues for increased evaporation in these layers for those cases. 334 The atmosphere may even be locally drier just preceding the leading convective lines of squall 335 systems due to the fact that the majority occurred in the dry northerly flow in advance of the AEW 336 troughs as they passed the longitude of the radar domain (Nieto Ferreira et al. 2009). The resulting 337 profiles of the vertical flux of moist static energy F (Fig. 9d), proportional to the vertical integral 338 of  $Q_1 - Q_2 - Q_R$  (Yanai et al. 1973), end up being physically realistic, namely, highly convective 339 conditions (low SF) are associated with stronger vertical transport of moist static energy than 340 stratiform conditions (high SF). At low levels, the atmosphere is drier for the high-SF cases (Fig. 341 9c), a reflection of the presence of unsaturated downdrafts in the squall-line trailing stratiform 342 regions (Zipser 1977). 343

In Fig. 9e, positive PV anomalies (departures from the radar-period mean) are seen in the lower troposphere associated with convective cases (low SF) and in the midtroposphere associated with stratiform cases (high SF), consistent with the idea that positive PV anomalies are created where diabatic heating rates ( $Q_1 - Q_R$ ) increase with height (e.g., Raymond and Jiang 1990). The midlevel PV anomaly associated the high-SF (stratiform) cases and its connection with top-heavy heating profile for this region have been previously documented (Hsieh and Cook 2008; Janiga and Thorncroft 2013; Tomassini et al. 2017), while the low-level PV anomaly associated with

low-SF (convective) cases has been recently reported in a modeling study by Russell and Aiyyer 351 (2018). That the PV anomalies separately associated with convective and stratiform cases can be 352 identified (Fig. 9e), but the heating distributions associated with each cannot (Fig. 9a) is likely 353 explained by the fact that PV anomalies locally generated by latent heating processes are spread 354 over larger areas as the convection moves through the region. Evidence for this effect will be 355 shown in connection with composite squall line results in Section 3c. The PV anomaly patterns in 356 Fig. 9e are consistent with those expected with leading-line/trailing stratiform squall-line systems 357 (Hertenstein and Schubert 1991). 358

Nieto Ferreira et al. (2009) found that there were two predominant tracks of AEWs during the 359 summer of 2006, a northerly track between 8° and 16°N and a southerly track between 2° and 360  $6^{\circ}$ N. Fifteen north-south oriented squall lines were observed in connection with the northerly 361 AEW track approximately centered at the latitude of the track and 13 were associated with the 362 southerly AEW track but centered well north of it. Many of these events are associated with the 363 precipitation maxima seen in Fig. 5. Nieto Ferreira et al. (2009) found that stratiform rain fraction 364 was significantly greater for the northerly track cases than the southerly track cases (47% vs. 33%, 365 respectively). 366

The latitude range of EBAN (9° to 17°N) aligns well with the northerly track squall lines and 367 that of EBAS ( $6.5^{\circ}$  to  $13.5^{\circ}$ N) is more closely aligned with the southerly track squall lines (Fig. 368 1). Considering this, mean profiles have been computed for EBAN for the 15 northerly track cases 369 and for EBAS for the 13 southerly track cases using the six-hourly sounding analyses before and 370 after the time of squall line passage. The resulting profiles of divergence and vertical motion are 371 shown in Fig. 10a,b. They are consistent with the idea of greater stratiform rain with the northerly 372 track squall lines, namely, there is greater midlevel convergence and low-level sinking over EBAN 373 than EBAS. The profiles of  $Q_1 - Q_R$  and  $Q_2$  (Fig. 10c,d) show greater low-level cooling and 374

evaporation over EBAN than EBAS, also consistent with greater stratiform rain to the north (also
shown by Poan et al. (2014)). The latter finding is consistent with the study of Schumacher and
Houze (2006) based on an analysis of 1998-2003 TRMM precipitation radar (PR) data.

<sup>378</sup> While the results imply a greater stratiform rain fraction to the north, the vertical eddy flux <sup>379</sup> of moist static energy *F* is greater over EBAN than EBAS (Fig. 10e), a seeming contradiction. <sup>380</sup> One must conclude that there is more vigorous convection in the leading convective lines in the <sup>381</sup> northerly track systems. This conclusion is supported by studies showing convection over the <sup>382</sup> Sahel to be more vigorous and with higher echo tops than those farther south (e.g., Geerts and <sup>383</sup> Dejene 2005; Zipser et al. 2006; Guy and Rutledge 2012). The higher levels of peak divergence <sup>384</sup> and vertical motion over EBAN than EBAS (Fig. 10a,b) are consistent with this result.

#### 385 c. Composite squall line results

A composite of 12 strongest and most extensive squall line events passing the MIT radar has 386 been created by assigning time 0 for each as the time when the center of the leading convective 387 line passed the radar site. Time series of surface variables associated with the composite squall 388 line passage are shown in Fig. 11. The rainfall time series shows peak rainfall near time 0 followed 389 by a secondary rainfall maximum 1.5-2 h later associated with the trailing stratiform precipitation 390 system, which for the average squall line speed of 17.2 m s<sup>-1</sup> corresponds to a distance of  $\sim$ 90-391 120 km. Associated with the composite squall passages are a pressure rise of  $\sim$ 3 hPa, temperature 392 drop of  $\sim 6^{\circ}$ C, RH increase of  $\sim 30\%$ , and temporary shift of the wind from southwesterly to 393 easterly followed by a return to southwesterly. 394

Shown in Fig. 12 are time series of temperature and specific humidity anomalies (departures from the 10 July-15 September monsoon period means) and relative humidity centered on the time

of passage of the composite leading convective line.<sup>2</sup> These results are from the gridded analyses 397 averaged over the radar domain. Owing to the coarseness of the sounding network, details of the 398 narrow convective lines cannot be resolved; nevertheless, broad-scale features of typical squall 399 line systems are revealed in the composite. The temperature field (Fig. 12a) is characterized by a 400 surface-based warm anomaly 10-18 h prior to line passage, followed by a surface cool anomaly 401 peaking a few hours after squall line passage. These features are in part related to the diurnal 402 cycle, namely, the majority of squall passages occurred during the morning hours. To illustrate 403 this diurnal preference, red dots denoting the noon hour prior to squall passage are placed along the 404 lower axis of Fig. 12a. The peak low-level warm anomaly is centered a few hours after the centroid 405 of the red dots. In an analysis of the fields in Fig. 12 with the diurnal cycle filtered out (not shown) 406 it is determined that approximately half the amplitude of the low-level anomalies of T, as well as 407 those of q (Fig. 12b), are attributable to the diurnal cycle of surface latent and sensible heat fluxes. 408 The remainder is associated with the squall line passage. Both q anomalies and relative humidity 409 (Fig. 12b,c) show that moist conditions at low levels are observed just prior to convective line 410 passage, immediately followed by moistening at midlevels and aloft. At upper levels moistening 411 is observed both leading and trailing the convective line associated with spreading anvils aloft 412 (Cetrone and Houze 2011). Warm and dry anomalies centered near 850 hPa existed 6 to 15 h 413 following squall line passage associated with unsaturated downdrafts below the trailing stratiform 414 precipitation systems (Zipser 1977). 415

<sup>416</sup> Nieto Ferreira et al. (2009) showed that northerly track squall line cases occurred on the south<sup>417</sup> ern or cyclonic side of the 700-hPa AEJ axis at a time when the jet axis was centered near 15°N
<sup>418</sup> to the north of Niamey. In contrast, the southerly track cases occurred on the northern or anticy-

<sup>&</sup>lt;sup>2</sup>Results in Fig. 12 are for all 12 squall lines. Separate composites for just the northerly track cases (7 events; results to be shown later) and southerly track cases (5 events) using the designations of Nieto Ferreira et al. (2009) yield similar results for these particular fields.

clonic side of the AEJ axis when it was centered south of Niamey's 13.5°N latitude. Given that 419 the northerly track systems evolved in a background environment of cyclonic vorticity, we focus 420 on the 7 out of the 12 events that were on the northerly track, which occurred between July 19 and 421 August 28 (these cases are indicated in Table 1). Figure 13 shows divergence, absolute vorticity, 422 and potential vorticity for the northerly track events. Once again, detailed features associated with 423 the convective line cannot be resolved; however, prominent mesoscale characteristic features of 424 LL/TS squall lines can be observed. First, there is an extended period of (1) low-level conver-425 gence prior to storm passage and low-level divergence following passage and (2) a deep layer of 426 convergence maximizing near the melting level and divergence aloft (near 200 hPa) in the trailing 427 stratiform region (Fig. 13a), reported elsewhere in African squall lines and MCSs in the tropics 428 and midlatitudes (e.g., Houze 1977; Zipser 1977; Maddox 1983; Chong et al. 1987; Mapes and 429 Houze 1995; Kingsmill and Houze 1999). Second, accompanying the convergence is an increase 430 in the magnitude and a vertical spreading of the absolute vorticity maximum following convective 431 line passage (Fig. 13b). Prior to the convective line passage, there is a deep layer (700-350 hPa) of 432 high values of background absolute vorticity, which can also be seen in a north-south cross section 433 averaged between 2 and  $3^{\circ}E$  at t = 0 h encompassing Niamey's longitude (Fig. 14a). Comparing 434 Fig. 14a to Fig. 7b, it can be seen that the axis of the AEJ and mid-troposphere absolute vorticity 435 maximum is shifted northward by about  $2.5^{\circ}$  latitude for the 7 northerly track cases compared to 436 the monsoon-period mean. 437

<sup>438</sup> Corresponding to the increase in absolute vorticity, there is an amplification of PV to the rear <sup>439</sup> of the convective line (lower panel, Fig. 13c). However, the principal axis of peak values of PV <sup>440</sup> is 1-2 km above that of absolute vorticity. The explanation for this difference lies in the fact that <sup>441</sup> southward extension of the tongue of high PV associated with the Saharan heat low has an axis that <sup>442</sup> resides between 400 and 500 hPa (Fig. 14b), which is evident in the pre-squall environment (Fig.

13c). Figure 14b is a clear indication of the equatorward dynamical extension along isentropes 443 of the stable layer of the Sahara, as proposed by Schubert et al. (1995). This PV maximum is 444 distinct from the lower-level PV maximum associated with the AEJ (Fig. 14). The upper-level PV 445 maximum is amplified during the passage of the squall line as a result of advection of higher PV 446 from the north during the passage of the AEW (not shown). The strongest convergence is at the 447 melting layer (Fig. 13a), as observed elsewhere in tropical MCSs (e.g., Mapes and Houze 1995; 448 Mapes and Zuidema 1996), such that the background absolute vorticity is most amplified at this 449 level (Fig. 13b). The net effect is to cause the trailing PV maximum to strengthen and expand 450 vertically, bulging downward toward the 0°C level. 451

Figure 15 shows vertical motion, apparent heat source  $Q_1$ , and apparent moisture sink  $Q_2$  for 452 the composite northerly track squall line. Rising motion is observed at low levels ahead of the 453 convective line followed by a broad area of ascent aloft and sinking at low levels in the trailing 454 stratiform precipitation region (Fig. 14a). Considering that the production of potential vorticity PV 455 is approximated by  $dPV/dt \simeq -g(\zeta_p + f)\partial\dot{\theta}/\partial p$ , where  $\dot{\theta} = \frac{\theta}{T}Q_1$ , the strong vertical gradient in 456  $Q_1$  near the melting level accounts for the large values of PV that develop there (e.g., Raymond and 457 Jiang 1990; Hertenstein and Schubert 1991). The structure of  $Q_2$  to the rear of the convective line 458 is also characteristic of stratiform precipitation, namely, drying aloft and evaporative moistening 459 at low levels; however, the peak in  $Q_2$  is not as horizontally extensive as it is for  $Q_1$ . As in the 460 case of Fig. 12, the high-amplitude, near-surface maxima of  $Q_1$  and  $Q_2$  are largely influenced by 461 boundary layer turbulent exchanges. 462

To better elucidate the impacts of the northerly track squall lines on the PV distribution, anomaly fields (with respect to the monsoon-period means) of vorticity, stability, and PV are shown in Fig. 16. A vorticity maximum in the trailing stratiform precipitation region is centered between 600 and 700 hPa (Fig. 16a), slightly below the peak in total absolute vorticity at the melting level (Fig.

13b). This result indicates that the anomalous cyclonic circulation generated by the squall system 467 at Niamey's latitude resides near the level of the axis of the AEJ (Fig. 14a). An analysis of the 468 700-hPa circulation associated with the passage of northerly track squall lines by Nieto Ferreira 469 et al. (2009) shows a strong cyclonic vortex at that level to the rear of the storms as they pass the 470 longitude of Niamey. Another prominent feature in the stratiform region, however, is enhanced 471 stabilization at the melting layer (Fig. 16b), as commonly observed in tropical MCSs (Johnson 472 et al. 1996). This feature is connected with the melting of hydrometeors and is associated with the 473 upward shift in the PV anomaly maximum from the level of the vorticity maximum (Fig. 16a) to 474 near 575 hPa (Figs. 16b,c). This position of the PV anomaly maximum corresponds well to the 475 location of the strong vertical gradient in  $Q_1$  seen in Fig. 15b. Using from this figure an estimate 476 of the vertical gradient of  $Q_1$  of 6 K 100 hPa<sup>-1</sup> yields of PV production rate of 0.10 PVU 12 477  $h^{-1}$ . This value is in good agreement with the PV anomaly increase observed following squall 478 line passage (Fig. 16c). The fact that the isothermal layer associated with melting is only several 479 hundred meters deep (Findeisen 1940) creates a challenge for the proper representation of this PV 480 anomaly in numerical simulations and reanalyses. 481

Finally, the impact of the northerly track squall lines on the PV field as they passed the Niamey 482 radar site is illustrated in Fig. 17. PV and streamline anomalies for the period 24 hours before 483 to 24 hours after convective line passage at Niamey at 700 and 600 hPa are shown, along with 484 estimates of the dimensions and orientation of the convective line (green segments). The plots at 485 600 hPa are close to the average  $0^{\circ}$ C level at 575 hPa. At 700 hPa, the convective line is located 486 to the north and slightly leads the circulation center as it passes south of the radar site, as shown 487 in Nieto Ferreira et al. (2009). However, at 600 hPa, the evolution of the PV field is remarkably 488 different, with a pronounced growth and expansion of a positive PV anomaly to the rear of the 489 convective line following passage. The strong vertical gradient in  $Q_1$  near the melting level (Fig. 490

<sup>491</sup> 15) has left a prominent positive PV anomaly at this level in the squall line stratiform region and
 <sup>492</sup> its wake.

To summarize, a PV maximum associated with the Saharan heat low resides between 400 and 493 500 hPa in the pre-squall environment, which becomes amplified and vertically extended during 494 squall line passage (Fig. 13c). However, a new PV anomaly appears centered near the melting 495 level in the squall line trailing stratiform region caused by the strong vertical gradient in latent 496 heating there (Fig. 16c). These results provide evidence of a coupling and merging of two PV 497 sources following the passage of West African squall lines – one associated with the deep convec-498 tive boundary layer over the Saharan desert and another with strong vertical gradients in diabatic 499 heating near the melting level in the stratiform region – that ends up creating a prominent mid-500 tropospheric positive PV maximum to the rear of the squall line systems. 501

These findings provide an observational context to previous studies of PV generation by convec-502 tion in AEWs based on reanalyses and model results. Numerical simulations (Berry and Thorn-503 croft 2012) and reanalysis products (Janiga and Thorncroft 2013), which depend in part on con-504 vective parameterizations, indicate maximum PV generation near 700 hPa or the level of the AEJ. 505 However, the composite results from these AMMA analyses indicate that the PV anomaly arising 506 from squall line passage resides closer to the melting level ( $\sim$ 575 hPa) as a result of the strong 507 vertical gradient of latent heating in the stratiform region there. This convective influence, when 508 combined with the PV maximum extending southward from the Saharan heat low, results in a 509 relatively deep, mid-tropospheric PV maximum peaking in the 400-600 hPa layer to the rear of 510 squall lines. 511

The potential role of the midlevel positive PV anomaly atop the Saharan boundary layer in AEW dynamics has recently been explored in a modeling study by Tomassini (2018). Using the Met Office Unified Model Global Atmosphere at 5-km grid spacing, he found that one mechanism <sup>515</sup> by which PV is enhanced in AEW disturbances is through a nocturnal descent of the Saharan-<sup>516</sup> based mid-troposphere PV maximum to lower levels (1.0-2.4 km), which then allows the positive <sup>517</sup> PV signature to be incorporated into and amplify the AEW circulation. Preliminary analyses of <sup>518</sup> the diurnal cycle of the positive PV anomaly originating over the Sahara based on the AMMA <sup>519</sup> sounding networks do not indicate such a diurnal fluctuation in its altitude (not shown); however, <sup>520</sup> further analysis of the diurnal cycle of the convective systems and their environment is currently <sup>521</sup> underway.

#### **4.** Summary and conclusions

Atmospheric soundings and radar observations from the 2006 AMMA field campaign have been 523 used to diagnose the impact of West African squall lines on the regional environments follow-524 ing their passage. Sounding data that comprised two quadrilaterals established over central West 525 Africa during AMMA (Parker et al. 2008) form the principal results of this study. Additionally, 526 gridded analyses over all of West Africa were produced using soundings from numerous land sites, 527 ships, aircraft dropsondes, driftsondes, pibals, and wind profilers to provide a larger-scale context 528 to the core analysis region. The radar observations utilized were from the MIT radar deployed at 529 Niamey, Niger, for the period 5 July to 27 September. During this period, 28 squall lines were ob-530 served to pass Niamey, the majority of which were associated with the passage of African Easterly 531 Waves or AEWs (Nieto Ferreira et al. 2009; Rickenbach et al. 2009; Guy et al. 2011). A subset of 532 the strongest squall lines was selected for a composite analysis of the systems. 533

To provide a large-scale context, meteorological conditions over West Africa before and during the period of radar operations are documented. These periods are referred to as "pre-monsoon" and "monsoon" periods, respectively. Monsoon rainfall over the Sahel began around the time of the initiation of radar operations, marked by the beginning of westward-propagating squall lines

that accounted for 82% of the total rainfall during the radar period (Nieto Ferreira et al. 2009). 538 As the monsoon period began, the axis of the African Easterly Jet (AEJ) shifted northward by 539 about  $5^{\circ}$ , accompanied by a strengthening of the reversal in the north-south gradient of potential 540 vorticity (PV). However, throughout the entire period, a prominent tongue of high-PV peaking in 541 the 400-500 hPa layer extended southward from the Sahara. This PV maximum is a consequence 542 of turbulent mixing atop deep convective boundary layers over the hot Saharan desert Karyampudi 543 and Carlson (1988); Cuesta et al. (2009); Messager et al. (2010); Garcia-Carreras et al. (2015) 544 strengthened by shortwave radiative heating of the dust that is lofted from the surface to the top 545 of the mixed layer or Saharan Air Layer (Carlson and Prospero (1972); Karyampudi and Carlson 546 (1988).547

<sup>548</sup> Using a partitioning of the radar observations of precipitation into convective and stratiform <sup>549</sup> components (Rickenbach et al. 2009; Guy et al. 2011), it is shown that convective heating in the <sup>550</sup> West African precipitation systems serves to generate positive PV anomalies at low levels and <sup>551</sup> negative anomalies aloft, whereas heating in the stratiform regions is effective in generating a <sup>552</sup> large positive PV anomaly in the mid-troposphere (e.g., Hertenstein and Schubert 1991).

In their analysis of squall lines passing Niamey, Nieto Ferreira et al. (2009) found some were 553 associated with northerly track AEWs and some with southerly track AEWs. The northerly track 554 cases occurred on the southern or cyclonic side of the AEJ. Selecting a subset of the seven strongest 555 of the northerly track cases, it is found that the latent heating in the trailing stratiform region of 556 the squall lines (heating aloft and cooling below the  $0^{\circ}C$  level) leads to strong convergence in 557 the mid-troposphere peaking near the melting level, a peak vorticity anomaly between 600 and 558 700 hPa, and a PV anomaly maximum near the melting level. The location of the PV anomaly 559 maximum near the  $0^{\circ}$ C level is due to the strong vertical gradient in latent heating associated 560 with the melting of hydrometeors. When combined with the positive PV anomaly between 400 561

and 500 hPa extending southward from the Saharan heat low, the two sources of PV result in a relatively deep (600-400 hPa) positive PV maximum trailing the squall lines. *These results point to an important coupling between disparate processes over West Africa in the mid-tropospheric PV structure of AEW-related squall lines, namely, boundary-layer heating over deserts and latent heating in the stratiform regions of such systems.* 

Investigations into the PV dynamics of AEWs have been carried out in recent years using reanalyses and numerical simulations (Berry and Thorncroft 2012; Janiga and Thorncroft 2013; Tomassini et al. 2017; Tomassini 2018). This study represents an extension of those works based directly on observations and therefore largely independent of model parameterizations. Further work is underway to explore the mechanisms involved in the above processes as well as the role of the diurnal cycle.

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#### APPENDIX

#### 582

### AMMA sounding data inventory, humidity corrections, and budget-TRMM comparisons

A visual inventory of the sounding observations from the AMMA core sites is shown in Fig. A1. 584 Three radiosonde types were used in AMMA: Vaisala RS80, Vaisala RS92, and MODEM. Fol-585 lowing the 2006 field campaign, comparisons of sounding total-column precipitable water (PW) 586 with estimates from ground-based GPS retrievals (Bock et al. 2007) indicated significant humidity 587 biases in both the Vaisala and MODEM sondes. For example, the Vaisala RS80 sondes at Niamey 588 were shown to have a day-time dry bias of up to 14% at low-levels increasing to greater than 20% 589 at upper-levels. Based on these findings, Nuret et al. (2008) designed and implemented a statis-590 tical correction procedure to reduce the RS80 dry bias to the accuracy level of the more reliable 591 RS92 sonde. Further corrections, using a method similar to Vömel et al. (2007), were then applied 592 to minimize the daytime dry bias found in the RS92 sondes and the modified-RS80 sondes. An 593 additional correction following Miloshevich et al. (2009) was applied to all Vaisala soundings to 594 reduce a small (few percent) night-time moist bias. The MODEM sondes at Ougadougou exhib-595 ited a modest nighttime moist bias (4-8% in the lower and mid troposphere) and daytime dry bias 596  $(\sim 10\%$  at lower-levels). These biases were reduced using a statistical method as in Nuret et al. 597 (2008). Unfortunately, similar corrections attempted at the other sites using MODEM sondes (i.e., 598 Cotonou and Parakou) resulted in no obvious bias reduction when compared with independent PW 599 estimates, and thus the MODEM humidity profiles from these sites remain uncorrected. 600

In order to investigate the ability of the sounding networks in providing reliable results, a comparison between the moisture ( $Q_2$ ) budget and TRMM rainfall over EBAN and EBAS has been

made (Fig. A2). Since measurements of surface fluxes are not available over the region, ECMWF 603 reanalysis fluxes are utilized over the domains. While the mean values compare favorably, the 604 correlations between the two estimates, 0.41 for EBAN and 0.64 for EBAS, are rather poor. This 605 situation contrasts markedly with comparisons over the open ocean (e.g., Johnson and Ciesielski 606 2013) where there are (1) fewer complications in the budgets due to topography, (2) more reliable 607 estimates of surface latent heat fluxes, and (3) TRMM 3B42 estimates are more reliable than over 608 land (e.g., Ebert et al. 2007). In the AMMA domains, both stations Agadez and Abuja are near 609 localized higher terrain features, the Aïr Mountains and Jos Plateau, respectively (Figs. 2 and 4). 610 Such terrain features are known to contaminate budgets by locally disrupting the low-level flow, 611 as in the case of the island of Sri Lanka impacting budgets for the northern sounding array in 612 DYNAMO (Ciesielski et al. 2014). In addition, the EBAN is comprised of only three sites as com-613 pared to four for EBAS, which also has a site in its interior. Katsumata et al. (2011) demonstrated 614 how a quadrilateral sounding array does a superior job of describing the structure of tropical waves 615 than a triangular array. Consequently, complications in both budget and satellite estimates of rain-616 fall degrade intercomparisons of the two products. Nevertheless, we utilize the sounding data from 617 the AMMA networks since they represent the densest set of sounding observations ever obtained 618 over West Africa. 619

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891		greater or lesser degree, had a leading-line/trailing stratiform structure. These
892		cases were a subset of the 28 MCSs studied by Nieto Ferreira et al. (2009),
893		Rickenbach et al. (2009), and Guy et al. (2011)

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Month	Day	Time (UTC) <sup>a</sup>	AEW track	Convective line orientation	Squall line speed (m $s^{-1}$ )
July	14	0520	Southern	N-S	25
	17	0650	Southern	NNE-SSW	21
	19	0545	Northern	NNE-SSW	15
	22	0940	Northern	N-S	17
August	3	1410	Southern	N-S	15
	6	0800	Northern	NW-SE	10
	11	0340	Northern	N-S	16
	18	0840	Northern	NNE-SSW	16
	22	0325	Northern	NW-SE	14
	28	0240	Northern	N-S	17
September	12	1510	Southern	NW-SW	19
	24	0330	Southern	N-S	21

<sup>*a*</sup>Niamey local time = UTC + 1 hour

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918 919 920 921 922	Fig. 5.	June-September 2006 TRMM 3B42 rainfall (color; mm day <sup>-1</sup> ) from equator to 20°N over longitudes of sounding arrays (0-7°) overlain by 500-hPa vertical <i>p</i> -velocity (contours; hPa $h^{-1}$ ) for the period of the period of the special AMMA sounding operations. Pre-monsoon period is defined as 1 June–9 July, monsoon period 10 July–15 September. Period of MIT radar operation indicated by gray arrows.	50
923 924 925 926 927 928	Fig. 6.	North-south cross sections (equator to 20°N) averaged from 0 to 7°E of (a) pre-monsoon and (b) monsoon meridional wind (m s <sup>-1</sup> , contours) and specific humidity (g kg <sup>-1</sup> , color). TRMM rainfall is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations. Cloud base height (CBH) in km is computed as CBH = $0.125(T_s - T_d)$ from Stull (1988), where $T_s$ is the surface temperature and $T_d$ is the surface dew point temperature. Dark brown shaded areas denote topography.	51
929 930 931 932 933 934 935	Fig. 7.	North-south cross sections (equator to 20°N) averaged from 0 to 7°E of (a) pre-monsoon and (b) monsoon zonal wind (m s <sup>-1</sup> , contours) and absolute vorticity $\zeta_a$ (10 <sup>-5</sup> s <sup>-1</sup> , color), and (c) pre-monsoon and (d) monsoon potential vorticity PV (PVU = 10 <sup>-6</sup> K m <sup>2</sup> kg <sup>-1</sup> s <sup>-1</sup> , contoured colors) and potential temperature (K, gray solid contours). Stippled areas in (c) and (d) indicate where the north-south gradient of PV is negative. TRMM rainfall is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations. Dashed light blue line denotes 0°C level.	52
936 937 938 939 940 941	Fig. 8.	North-south cross sections (equator to 20°N) averaged from 0 to 7°E before and after mon- soon onset of (a) and (b) divergence $10^{-6}$ s <sup>-1</sup> , contours) and relative humidity (%, with respect to ice for $T < 0$ °C, color), and (c) and (d) apparent heat source $Q_1$ (K hr <sup>-1</sup> , color) and vertical <i>p</i> -velocity $\omega$ (hPa h <sup>-1</sup> , contours). Dashed cyan line marks 0°C level. TRMM rainfall is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations.	53

942 943 944 945 946 947 948 949	Fig. 9.	Vertical profiles of (a) radiative heating rate $Q_R$ (thin curves) and convective heat source $Q_1 - Q_R$ (thick curves) in units of K day <sup>-1</sup> , (b) apparent moisture sink $Q_2$ (K day <sup>-1</sup> ), (c) relative humidity (%, with respect to ice for sub-freezing temperatures), (d) vertical eddy flux of moist static energy $F$ (W m <sup>-2</sup> ), and (e) potential vorticity anomaly (PVU = 10 <sup>-6</sup> K m <sup>2</sup> kg <sup>-1</sup> s <sup>-1</sup> ) for MIT-radar-based average stratiform fraction SF of 82% (red) and 30% (black). In other words, the red (black) curves can be considered representative of precipitation events predominantly stratiform (convective) in nature. Curves show profiles when radar times matched up with sonde times during the period when radar data were available.	 54
950 951 952 953 954	Fig. 10.	Vertical profiles of (a) divergence $(10^{-6} \text{ s}^{-1})$ , (b) vertical motion (hPa h <sup>-1</sup> ), (c) convective heat source $(Q_1 - Q_R; \text{ K day}^{-1})$ , (d) apparent moisture sink $Q_2$ (K day <sup>-1</sup> ), and (e) vertical eddy flux of moist static energy $F$ (W m <sup>2</sup> ) for squall line (MCS) passage at MIT radar site at Niamey for northerly track systems (EBAN) and southerly track systems (EBAS). Number of six-hourly sounding times used in the averages given in parentheses.	55
955 956 957	Fig. 11.	(top to bottom) Time series relative to composite convective line passage of surface precipitation (mm $h^{-1}$ ), pressure (hPa), wind vectors (one full barb = 10 m s <sup>-1</sup> ), temperature (C), and relative humidity RH (%) at the Niamey ARM site.	56
958 959 960 961 962	Fig. 12.	Time series relative to composite convective line passage of (a) temperature anomaly (°C) and (b) specific humidity anomaly (g kg <sup>-1</sup> ) representing departures from monsoon period (10 July–15 September) respective means, and (c) relative humidity RH (%, with respect to ice for $T < 0^{\circ}$ C) at Niamey radar site. Horizontal dashed lines denote 0°C level. Red dots at base of top panel indicate the time of noon prior to squall passage for the 12 cases.	57
963 964 965	Fig. 13.	Time series relative to composite convective line passage of (a) divergence $(10^{-5} \text{ s}^{-1})$ , (b) relative vorticity $(10^{-5} \text{ s}^{-1})$ , and (c) potential vorticity (PVU = $10^{-6} \text{ K m}^2 \text{ kg}^{-1} \text{ s}^{-1})$ at Niamey radar site. Horizontal dashed lines denote $0^{\circ}\text{C}$ level.	58
966 967 968 969 970 971 972	Fig. 14.	North-south cross sections (equator to $20^{\circ}$ N) averaged from 2 to $3^{\circ}$ E of (a) zonal wind (m s <sup>-1</sup> , contours) and absolute vorticity $(10^{-5} \text{ s}^{-1}, \text{shading})$ and (b) potential temperature (K, gray contours) and potential vorticity PV (PVU = $10^{-6}$ K m <sup>2</sup> kg <sup>-1</sup> s <sup>-1</sup> , contoured color field) for the 7 northerly track squall line cases. Stippling indicates where the north-south gradient of PV is negative. TRMM rainfall for the same cases is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations. Light blue line denotes the 0°C level.	59
973 974 975	Fig. 15.	Time series relative to composite convective line passage of (a) vertical motion (hPa h <sup>-1</sup> ), (b) apparent heat source $Q_1$ (K day <sup>-1</sup> ), and (c) apparent moisture sink $Q_2$ (K day <sup>-1</sup> ) at Niamey radar site. Horizontal dashed lines denote 0°C level.	60
976 977 978 979	Fig. 16.	Time series relative to composite convective line passage of anomalies of (a) vorticity $(10^{-5} \text{ s}^{-1})$ , (b) stability (K hPa <sup>-1</sup> ), and (c) potential vorticity (PVU = $10^{-6} \text{ K m}^2 \text{ kg}^{-1} \text{ s}^{-1})$ at Niamey radar site. Anomalies are relative to the monsoon period (10 July-15 September) mean fields. Horizontal dashed lines denote $0^{\circ}\text{C}$ level.	61
980 981 982 983 984 985	Fig. 17.	Succession of composite anomaly maps of PV and streamline fields at 700 and 600 hPa from 24 hours before to 24 hours after convective line passage at MIT radar site at Ni-amey (marked by stars in top panels). PV units are $10^{-6}$ K m <sup>2</sup> kg <sup>-1</sup> s <sup>-1</sup> . The streamline and PV anomalies are relative to the monsoon period (10 July-15 September) mean fields. Green line segments denote estimated positions, orientation, and dimension of convective line based on 15 m s <sup>-1</sup> west-northwestward movement, information provided in Nieto Fer-	

986 987		reira et al. (2009), and assuming for illustrative purposes no change in structure over the period indicated. Panels on right are in proximity to the mean $0^{\circ}$ C level (575 hPa).	62
988 989 990 991	Fig. A1.	Visual sounding inventory of upper-air data for six core sites. Each line of dots indicates the layer of usable data in a successful sonde launch. Denser lines in late June and early August indicate Intensive Observing Periods (IOPs) with 8/day frequency. Sonde types used at each site are shown next to site name.	63
992 993 994	Fig. A2.	Time series of five-day running mean rainfall from the moisture budget (Q2-B) and TRMM 3B42 over EBAN and EBAS for June-September 2006. Mean values ( $mm day^{-1}$ ) are shown in parentheses.	64



FIG. 1. AMMA sounding network for the period June-September 2006. Filling of the circles indicates the percent of soundings taken during this period assuming 4/day observations. Smaller polygons denote the enhanced budget arrays (EBAN, EBAS) with larger polygon (LSA) indicating the domain over which the gridded analysis was performed. 'P' symbols show the locations of profiler sites. Color shading over land indicates surface elevation with scale at bottom. Dashed circle at Niamey denotes 150-km range of MIT radar.



FIG. 2. Radar reflectivity images for two squall line cases on 19 July and 11 August 2006 as their convective lines were just about to pass the MIT radar site (indicated by star). The two squall lines were moving westward (indicated by arrows) at 15 and 16 m s<sup>-1</sup>, respectively.



FIG. 3. Time series of radar-determined properties over a 20 km  $\times$  20 km area centered on Niamey of 12 composited squall lines. Time is relative to center of convective line passage at radar site. (top) Conditional and unconditional rain rates (mm h<sup>-1</sup>) over specified area, (middle) stratiform rain fraction (%), and (bottom) stratiform rain area.



FIG. 4. June-September 2006 TRMM 3B42 rainfall (mm day<sup>-1</sup>) and surface streamlines over Large Scale Array (LSA) domain of gridded sounding data. Relative to the surface flow, rainfall maxima occurred upstream of elevated terrain – the Guinea Highlands, Jos Plateau, and the Cameroon Highlands. Polygons indicate AMMA sounding networks, dashed circle the range of the MIT radar at Niamey.



FIG. 5. June-September 2006 TRMM 3B42 rainfall (color; mm day<sup>-1</sup>) from equator to 20°N over longitudes of sounding arrays (0-7°) overlain by 500-hPa vertical *p*-velocity (contours; hPa h<sup>-1</sup>) for the period of the period of the special AMMA sounding operations. Pre-monsoon period is defined as 1 June–9 July, monsoon period 1014 10 July–15 September. Period of MIT radar operation indicated by gray arrows.



FIG. 6. North-south cross sections (equator to 20°N) averaged from 0 to 7°E of (a) pre-monsoon and (b) monsoon meridional wind (m s<sup>-1</sup>, contours) and specific humidity (g kg<sup>-1</sup>, color). TRMM rainfall is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations. Cloud base height (CBH) in km is computed as CBH =  $0.125(T_s - T_d)$  from Stull (1988), where  $T_s$  is the surface temperature and  $T_d$  is the surface dew point temperature. Dark brown shaded areas denote topography.



FIG. 7. North-south cross sections (equator to 20°N) averaged from 0 to 7°E of (a) pre-monsoon and (b) monsoon zonal wind (m s<sup>-1</sup>, contours) and absolute vorticity  $\zeta_a$  (10<sup>-5</sup> s<sup>-1</sup>, color), and (c) pre-monsoon and (d) monsoon potential vorticity PV (PVU = 10<sup>-6</sup> K m<sup>2</sup> kg<sup>-1</sup> s<sup>-1</sup>, contoured colors) and potential temperature (K, gray solid contours). Stippled areas in (c) and (d) indicate where the north-south gradient of PV is negative. TRMM rainfall is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations. Dashed light blue line denotes 0°C level.



<sup>1026</sup> FIG. 8. North-south cross sections (equator to 20°N) averaged from 0 to 7°E before and after monsoon onset <sup>1027</sup> of (a) and (b) divergence  $10^{-6}$  s<sup>-1</sup>, contours) and relative humidity (%, with respect to ice for T < 0°C, color), <sup>1028</sup> and (c) and (d) apparent heat source  $Q_1$  (K hr<sup>-1</sup>, color) and vertical *p*-velocity  $\omega$  (hPa h<sup>-1</sup>, contours). Dashed <sup>1029</sup> cyan line marks 0°C level. TRMM rainfall is shown at bottom. Heavy solid lines are estimates of cloud-base <sup>1030</sup> height based on surface observations.



FIG. 9. Vertical profiles of (a) radiative heating rate  $Q_R$  (thin curves) and convective heat source  $Q_1 - Q_R$  (thick curves) in units of K day<sup>-1</sup>, (b) apparent moisture sink  $Q_2$  (K day<sup>-1</sup>), (c) relative humidity (%, with respect to ice for sub-freezing temperatures), (d) vertical eddy flux of moist static energy F (W m<sup>-2</sup>), and (e) potential vorticity anomaly (PVU =  $10^{-6}$  K m<sup>2</sup> kg<sup>-1</sup> s<sup>-1</sup>) for MIT-radar-based average stratiform fraction SF of 82% (red) and 30% (black). In other words, the red (black) curves can be considered representative of precipitation events predominantly stratiform (convective) in nature. Curves show profiles when radar times matched up with sonde times during the period when radar data were available.



FIG. 10. Vertical profiles of (a) divergence  $(10^{-6} \text{ s}^{-1})$ , (b) vertical motion (hPa h<sup>-1</sup>), (c) convective heat source  $(Q_1 - Q_R; \text{ K day}^{-1})$ , (d) apparent moisture sink  $Q_2$  (K day<sup>-1</sup>), and (e) vertical eddy flux of moist static energy *F* (W m<sup>2</sup>) for squall line (MCS) passage at MIT radar site at Niamey for northerly track systems (EBAN) and southerly track systems (EBAS). Number of six-hourly sounding times used in the averages given in parentheses.



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FIG. 14. North-south cross sections (equator to 20°N) averaged from 2 to 3°E of (a) zonal wind (m s<sup>-1</sup>, contours) and absolute vorticity  $(10^{-5} \text{ s}^{-1}, \text{ shading})$  and (b) potential temperature (K, gray contours) and potential vorticity PV (PVU =  $10^{-6} \text{ K m}^2 \text{ kg}^{-1} \text{ s}^{-1}$ , contoured color field) for the 7 northerly track squall line cases. Stippling indicates where the north-south gradient of PV is negative. TRMM rainfall for the same cases is shown at bottom. Heavy solid lines are estimates of cloud-base height based on surface observations. Light blue line denotes the 0°C level.



FIG. 15. Time series relative to composite convective line passage of (a) vertical motion (hPa h<sup>-1</sup>), (b) apparent heat source  $Q_1$  (K day<sup>-1</sup>), and (c) apparent moisture sink  $Q_2$  (K day<sup>-1</sup>) at Niamey radar site. Horizontal dashed lines denote 0°C level.



FIG. 16. Time series relative to composite convective line passage of anomalies of (a) vorticity  $(10^{-5} \text{ s}^{-1})$ , (b) stability (K hPa<sup>-1</sup>), and (c) potential vorticity (PVU =  $10^{-6}$  K m<sup>2</sup> kg<sup>-1</sup> s<sup>-1</sup>) at Niamey radar site. Anomalies are relative to the monsoon period (10 July-15 September) mean fields. Horizontal dashed lines denote 0°C level.



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Fig. A2. Time series of five-day running mean rainfall from the moisture budget (Q2-B) and TRMM 3B42 over EBAN and EBAS for June-September 2006. Mean values (mm day<sup>-1</sup>) are shown in parentheses.