Science and Prediction of Monsoon Heavy Rainfall

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27	With the increasing incidence of heavy rainfall events, particularly over the monsoon regions, the		
28	highly dense populations are more vulnerable (Li et al. 2018) [1]. Research initiatives on observation,		
29	modeling, and prediction of monsoon heavy rainfall have been promoted actively by World Weather		
30	Research Programme's (WWRP) Working Group on Tropical Meteorology Research (WGTMR) of		
31	the World Meteorological Organization (WMO) since 2010. Series of monsoon-heavy-rainfall		

workshops were held in Beijing (2011), Petaling Jaya (2012), and New Delhi (2015) to benefit scientists worldwide and forecasters from the National Meteorological and Hydrological Services. An international Research and Development Project, namely, the Southern China Monsoon Rainfall Experiment (SCMREX) [2] was established in 2013 to coordinate field campaign experiments and to conduct scientific research on presummer (April-June) heavy rainfall processes in southern China.

The fourth WMO Monsoon Heavy Rainfall Workshop (MHR-4) was held in Shenzhen in April 37 2019 to discuss recent advances in analysis, numerical weather prediction (NWP) studies, development 38 of techniques for observing/forecasting monsoon heavy rainfall, and to review the progress of 39 40 SCMREX. It was organized by the WWRP WGTMR and hosted by the State Key Laboratory of Severe Weather at Chinese Academy of Meteorological Sciences, China Meteorological Administration 41 (CAMS/CMA), in cooperation with the Meteorological Bureau of Shenzhen Municipality and the 42 Chinese Meteorological Society. The workshop consisted of three days of scientific presentations. The 43 44 opening keynote lectures highlighted the effect of Madden-Julian Oscillation on East Asia Monsoon revealed by singular value decomposition analysis, field campaigns in South China Sea area focusing 45 46 on the study of East Asia monsoon circulations and rainfall in the last four decades, and extreme rainfall produced by land-falling tropical cyclones. A total of 91 oral and poster papers were presented. In 47 48 addition, two short training courses on ensemble forecast were provided. The abstracts volume is available online (http://www.wmo.int/pages/prog/arep/wwrp/tmr/documents/WMOMHR-49

50 4AbstractCollection.pdf).

Innovated by the discussions in the MHR-4 Workshop, this paper highlights recent progress on monsoon heavy rainfall research, including topics such as rainfall characteristics and physical mechanisms, field experiment, numerical simulations and model development, forecast methods, and tropical cyclone (TC)-related heavy rainfall. Future research directions and some specific research topics are also proposed.

1. Physical mechanisms of monsoon heavy rainfall

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57 Heavy rainfall over the monsoon regions is associated with physical processes covering the intraseasonal, synoptic, and mesoscale processes, such as anomalous sea surface temperature over 58 tropical oceans, synoptic features of the monsoon circulation, sea breezes and thunderstorm outflows 59 associated with mesoscale cold pools. Extreme rainfall (>100 mm h⁻¹, >500 mm d⁻¹, even >1000 mm 60 d⁻¹) over the monsoon regions is often a result of complex interactions among these processes. While 61 few relations between equatorial Pacific sea surface temperature and intensity/frequency of extreme 62 rainfall events over northern Indian have been found, evidences are provided for great influences of 63 sea surface temperature over the tropical Pacific and Indian ocean on interannual variations of 64 65 presummer rainfall over South China [3]. Heavy rainfall over Korea during Changma (July-September) is closely associated with continental lows approaching Korea from northwest, confluent system 66 between weakened continental low and North Pacific high, northeastward moving cyclones, 67 disturbances on the edge of the North Pacific high, and high pressure over Northern China. Analysis 68 69 of rainstorms over northern Taiwan island during warm season suggested that the downdraft outflows could change the track of the rainstorm and the location of the heavy rain-affected region, making 70 71 NWP more challenging. In addition, local surface features (e.g., mountainous topography in northern India and Philippines, urban heat islands over the Pearl River Delta in South China, coastlines and 72 73 coastal terrains in India and East Asia) play important roles in producing extremely heavy rainfall under favorable environmental conditions provided by the monsoon systems. 74

75 2. Field experiments

Numerous field campaigns have been carried out over the South China Sea to study the East Asian monsoon circulations and rainfall (Figure 1) during the past four decades. New insights are yielded by these campaigns in a wide range of phenomena and processes associated with East Asian monsoon: winter cold surges, the Borneo vortex, orographic effects, the Meiyu front, mesoscale convective systems, mesoscale convective vortices, the diurnal cycle, and sea surface temperature gradients (Table 1). Progress of the most recent experiment, SCMREX [2], includes physical mechanism studies from 3 / 14

large-scale background to rainstorm interior, convection-permitting NWP, and algorithm development 82 and data analysis for remote sensing. Coupling of double low-level jets in the boundary layer and 83 lower-to-middle troposphere [4], synoptic-scale cyclonic and deep-trough anomalies associated with 84 midlatitude Rossby wave train passing by the Tibetan Plateau [5], mesoscale rainband training and 85 bow-echo splitting and re-establishment [6], and urban heat islands [7] are shown to play significant 86 roles in producing the pre-summer heavy rainfall over South China. Positive impacts of assimilating 87 the observational data from the wind profiler network, operational weather radar, lightning detector 88 are demonstrated on predicting convection evolution over inland South China and northern South 89 90 China Sea [8]. Rain evaporation represented by microphysics schemes is critical for simulating the formation, movement, and morphology of a linear-shaped MCS passing over the SCMREX field 91 campaign [9]. An experimental convection-permitting ensemble prediction system based on the 92 Global/Regional Assimilation and Prediction System is developed for quantitative precipitation 93 forecast (QPF) over southern China. Based on ensemble forecasts using this system, multi-scale 94 characteristics of different-source perturbations and their interactions are investigated [10]. The 95 96 nonlinear impacts of adding model physics perturbations to initial condition perturbations have the most significant effects on meso-\beta-scale precipitation perturbations and can effectively improve 97 98 precipitation prediction.

The observing ability over coastal South China has been greatly enhanced by the synthetic 99 100 observation network. The newly developed instruments include automatic observation stations in different-height buildings over the Guangzhou megalopolis, X-band phased array radars, dual-101 102 polarimetric radars, 2DVideo disdrometeors, and a Cloud Physics and Heavy Rainfall Field Experiment Base with various-wavelength, vertically pointing cloud-and-precipitation radars. Data 103 104 quality-control methods and retrieval algorithms are under development to estimate storm-internal dynamic and microphysical properties from observations obtained using these newly deployed sensors 105 [11]. Statistical analysis and case studies (e.g., a super-cell and a linear-shaped MCS) demonstrate 106 4 / 14

107 promising results.

Field campaigns with high density radiosonde observations in Korea have been led by National 108 Institute of Meteorological Sciences, Korea Meteorological Administration since 2002, targeted on 109 intensive rainfall events during Changma and heavy snowfalls during the cold season. Heavily 110 instrumented aircrafts were utilized by Korea Meteorological Administration from 2008 and by CMA 111 from 2017, aiming at observing the physical properties of aerosols and clouds, as well as atmospheric 112 conditions using dropsondes. These experiences will help more efficient deployment of instrumented 113 aircrafts in future monsoon-rainfall field campaigns, which will provide a valuable data basis for 114 115 studying aerosol-precipitation-convection interaction and for validating ground-based remote-sensing measurements and products. 116

117 **3.** Numerical simulations and model development

Convection-permitting simulations with horizontal grid spacings of 1-4 km have been widely 118 used in studies and prediction of monsoon heavy rainfall. Numerous studies have demonstrated strong 119 sensitivity of model performance to uncertainties in the initial state and model physical 120 parameterizations in simulations of heavy-rainfall-producing mesoscale convective systems. Efforts 121 are being made to improve numerical model simulation via data assimilation, dynamical core 122 123 development, and improving physics schemes. Positive impacts of assimilating weather-radar radial velocity and wind profilers' observation over South China [8] have been demonstrated on convection-124 125 permitting prediction of convection evolution in monsoon heavy-rainfall events. A new data assimilation method that combines the ARPS Data Assimilation System cloud analysis and Gridpoint 126 127 Statistic Interpolation assimilation has been developed to construct a rapid refresh assimilation/modeling system over South China. This new data assimilation scheme effectively 128 129 improves the evolution of pre-typhoon squall lines during the 4-h forecasts. Assimilating lightning observations can also benefit the simulation of storm structure and the associated surface cold pool. 130

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A global nonhydrostatic dynamical modeling system on an unstructured mesh is under 5 / 14

132 development at CAMS [12]. The unstructured mesh enables the possibility of a smooth transition from global to regional modeling in the context of a global model, offering an effective alternative to 133 conventional nested limited-area modeling, which suffers from the problematic treatment of lateral 134 boundaries. A layer-averaged approximation of 3D flow is used as a basis for vertical Eulerian 135 discretization such that the model simulates each layer in a similar manner to the treatment of 2D 136 shallow water flow while permits vertical miscibility. Model evaluation has demonstrated reasonably 137 good performance in dry dynamics associated with multiscale atmospheric wave-like phenomena from 138 planetary waves to mountain gravity waves. The next step is to develop a coherent moist model 139 140 combining the components of dry dynamics, tracer transport and model physics.

A cloud-aerosol-interacting microphysics scheme is incorporated into the UK Met-Office Unified 141 Model and applied to simulating monsoon heavy rainfall during SCMREX. It is shown that different 142 cloud-droplet numbers in different parts of the domain can be represented by including the processing 143 of aerosol material inside cloud hydrometeors in the Unified Model simulations. Capturing these 144 dependencies is crucial for simulating the organized convections, and affects both the hydrological and 145 146 radiative impacts of such systems, in a manner consistent with -but not fully replicable by- one-way coupled simulations with tuned aerosol concentrations. Another study demonstrates that, in 147 convection-permitting simulations of monsoon heavy rainfall, cloud-fraction diagnosis and 148 microphysical properties of hydrometeor particles have more significant impacts than increasing the 149 150 number of prognostic variables from single- to double-moment microphysics schemes [13].

The fine-scale sub-daily rainfall characteristics are essential metrics to assess the performance of convection-permitting NWP forecasts within the 24 hours. By applying metrics such as hourly frequency-intensity structure and diurnal variation, it is found that the European Centre for Mediumrange Weather Forecast (ECMWF) model (~12.5 km) shows a systematic bias in reproducing hourly frequency distributed with intensities, and the heavy rainfall tends to peak too early in the day over south China. The GRAPES_GZ (~3 km) produces more realistic hourly rainfall characteristics, but 6 / 14 157 some distinct deficiencies are still evident over regions with complex topography. The WRF model (3 km) simulations over eastern China for 10 warm seasons reasonably reproduce the major rainfall 158 159 characteristics, including seasonal meridional migration, diurnal variation, and climatological characteristics of rain storms (consistent with the findings using the Unified Model [14]). The major 160 deficiency is an overestimation of rainfall intensity and occurrence frequency, especially in the 161 afternoon, which could be partially alleviated by including shallow convective clouds and aerosol 162 effects in the simulations. Moreover, both WRF and Unified Model simulations over Korea suggest an 163 overestimation of ice water content and cloud top height, and a severe underestimation of warm-type 164 165 heavy rainfall.

166 **4.** Advances in regional prediction

Productive attempts have been made to improve forecast methods at national and regional 167 operational centers such as the National Meteorological Center (NMC) of CMA, Hong Kong 168 Observatory (HKO), Meteorological Bureau of Shenzhen Municipality, and Meteo-France. The 169 performance of a newly proposed potential forecasting method for short-duration heavy rainfall was 170 171 demonstrated at the MHR-4 Workshop, by statistical evaluation in rainy season, to be even higher (in terms of verification scores) than the operational short-duration heavy rainfall forecast method at 172 173 NMC/CMA. HKO has developed deep learning algorithms that improve radar-based rainfall nowcasts, as compared to the existing approach based on tracking radar echoes using optical flow. An enhanced 174 175 neutral network model has also been developed to retrieve equivalent reflectivity using imagery data from the Himawari-8 satellite. A community version of HKO's nowcasting system has been made 176 177 available to various National Meteorological and Hydrological Services to support capacity building process and operational rainfall nowcasting services in Asian countries through HKO's Regional 178 179 Specialized Meteorological Centre (https://rsmc.hko.gov.hk). New post-processing methods to calibrate forecasts from the Ensemble Prediction System (EPS) of ECMWF and its derived products 180 such as Extreme Forecast Index are under active development in the HKO. An ingredients-based 181

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approach is employed to all 51 ensemble members of ECMWF EPS to derive probabilistic guidance
 on potential of thunderstorm and mesoscale diagnostic products that consider favorable factors from
 conceptual models and forecasters' experiences with respects to dynamics, moisture and atmospheric
 instability.

In Shenzhen, high spatial-temporal density rainfall observation data are collected in real-time from 186 about 200 automatic weather stations. Based on this dataset the detailed precipitation distribution, 187 various practical service products, and rapid disaster responses become possible. Moreover, equatorial 188 wave theory is promoted to be directly used in operational forecasting, because it reveals the 189 190 propagating behavior of weather systems in monsoonal/tropical areas and is therefore valuable for 191 early warnings and extended outlooks that are required by stakeholders. It is also recommended that the monitoring of mesoscale outflow boundaries and cold pools should be an important part of the 192 work of forecasters for nowcasting and very short-range forecasting of severe convection. 193

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5. TC-related heavy precipitation

TC rainfall amount is closely related to TC's inner circulation features (such as small-scale vortex 195 196 and shear line), environmental influences (interact with monsoon surge and mid-latitude trough, binary TC interaction), and terrain effect particularly coastal topography and mountain range. A global 197 climatology of distributions and extremes of TC rainfall is analyzed based on TRMM (Tropical 198 Rainfall Measuring Mission) satellite data from 1998 to 2014. It is found that the extreme accumulated 199 200 rainfall events are predominantly in the North Atlantic and West Pacific. Simple linear correlations between accumulated rainfall and TC lifetime for each basin explain 60-70% of the variance with 201 202 correlation coefficients greater than 0.8. Climatologically, TC rainfall accounts for approximately 20%–40% of the total rainfall over southeast China during boreal summer. The dominant mode of TC 203 204 rainfall reveals a dipole pattern over southern southeast China and eastern southeast China. Variations in TC rainfall intensity at interdecadal scale seem to be unrelated to the TC's own intensity change. 205 Interestingly, decreasing total lightning density (based on precipitation radar and passive microwave 206

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207 observations from TRMM satellite) in the inner core seems to be a signal for TC rapid intensification 208 with a 6-12 h leading time [15]. This finding appears contradictory to the traditional concept that deep 209 eyewall convection promotes TC intensification. One possible reason is that the symmetric inner core 210 in rapidly intensifying TCs may cause a higher degree of glaciation due to more evenly distributed ice 211 particles in the mixed-phase region, leading to less active riming and lightning production. Another 212 reason could be that the more tilted inner convective tower in rapidly intensifying TCs may induce 213 longer discharge distances and thus reduce the probability of lightning production.

Despite the considerable efforts, NWP models still have very limited ability to forecast TC rainfall, 214 215 especially for the extremely heavy rainfall and rainfall produced around TC landfalling. Efforts are being made to improve the NWP performance by improving representation of TC structure in the initial 216 state. A dynamical-statistical method that combines NWP predicted TC track (using an objective TC 217 Track Similarity Area Index) and statistical information in historic observations is developed to 218 219 forecast landfalling-TC precipitation [16]. Its application to predicting accumulative rainfall associated with landfalling TCs influencing South China demonstrates the advantages in predicting intense 220 221 precipitation at large thresholds (i.e., 100 or 250 mm) compared to dynamical models.

222 6. Future research directions

Built upon the important progresses in science and prediction of monsoon heavy rainfall, including heavy rainfall associated with tropical cyclones in the monsoon regions, and high-priority research topics proposed by the international monsoon science community, the following directions are recommended for future monsoon-heavy-rainfall research.

First, studies on physical mechanism governing monsoon-heavy-rainfall variation and change across a wide range of scales. More detailed studies are needed on how extreme monsoon rainfall will change as the climate warms, how the global warming, large-scale circulation [17], and local urban effects impact the heavy rainfall changes over the densely populated vulnerable urban areas, how anthropogenic and natural aerosols influence monsoon heavy rainfall under various synoptic

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conditions, what are the relationships of circulations and associated heavy rainfall among different
 monsoon regions (e.g., South Asia, East Asia, Australia), what are the roles played by land/sea-air
 interaction in modulating the monsoon circulation and rainfall.

Second, studies on forecast technique to improve the monsoon-heavy-rainfall prediction skill. 235 To further reduce initial errors of NWP, more studies are needed to investigate effectiveness of existing 236 data assimilation methods at convection-permitting resolution and to improve data assimilation 237 techniques to more effectively utilize new observations from the new-generation satellites (Himawari-238 8, FY-4) and ground-based remote sensors, such as dual-Polarimetric and phased-array radars. Detailed 239 240 analysis using new, integrated observations is recommended to advance the understanding and reduce uncertainties of model physics schemes, particularly those that represent the boundary layer, cloud-241 precipitation microphysics, and aerosol-cloud interactions. To better represent the unavoidable 242 uncertainties in monsoon-heavy-rainfall forecast, great efforts need to be made on convection-243 244 permitting ensemble forecast, including developing perturbation methods especially model physics perturbations for various monsoon regions, examining the nonlinear impacts of different-source 245 perturbations, developing EPS diagnostics in blending with radar and satellite nowcasts. Also needed 246 is conducting systematic QPF evaluation for convection-permitting simulation/prediction made in the 247 248 operational centers over the monsoon regions, and carrying out forecasting experiments to test new forecast techniques and conceptual models. 249

Third, further field campaigns and sharing of data products. Studies would include conducting and coordinating field observations over the monsoon regions, using three-dimensional operational observing networks and portable instruments (instrumented aircrafts and various radars) to obtain integrated datasets. More efforts are needed to make standard data products that would be easily accessed by broad scientists and forecasters.

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Field Campaigns	Time	Scientific Objectives or New Insight
The Winter Monsoon Experiment	1978	Winter cold surges, inter-hemispheric exchanges,
(WMONEX)		near-equatorial convection and its diurnal cycle
The Taiwan Area Mesoscale	1007	Meiyu front, mesoscale convective systems
Experiment (TAMEX)	1987	(MCSs), orographic effects, local circulations
South China Sea Monsoon	1009	Key processes associated with onset and evolution
Experiment (SCSMEX)	1998	of southeast Asia summer monsoon
Southwest Monsoon	2008	Terrain effect on the flow and MCSs; MCS
Experiment/Terrain-Influenced		dynamics, microphysics, and predictability;
Monsoon Rainfall Experiment		Mesoscale data assimilation/QPF; Convective
(SoWMEX/TiMREX)		initiation/diurnal cycle/boundary layer processes
South China Monsoon Rainfall	2013-	Multi-scale processes governing heavy-rain-
Experiment (SCMREX)		producing storms, cloud microphysics, convection-
	2021	permitting modeling and prediction

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Table 1 Field campaigns over South China Sea in the study of heavy rainfall from 1978 to 2021

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Figure 1 Schematic diagram roughly showing the areas and years of field campaigns over South

261 China Sea aiming at monsoon circulation and rainfall study from 1978 to 2018 [from the opening

262 keynote lecture presented by Richard H. Johnson at MHR-4].

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